

FORM 3A

Unit Linked Insurance Business

PART - B

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2018

Investee Company Exposure Norms

Link to Item 'C' of FORM 3A (Part A)

Periodicity of Submission : Quarterly

Rs. Crore

Particulars	ACCELERATOR FUND [ULIF00401/03/07A CCELRA128] / SLIAF3165	BALANCER [ULIF01707/01/10B ALANCRFND128] / SLIBA3163	CONSERVATOR GOLD [ULIF00719/05/08C ONSERGOLD128] / SLICG3166	CONSERVATOR PLATINAM [ULIF00919/05/08C ONSPLATNM128] / SLICP3162	CONSERVATOR [ULIF00101/07/06C ONSRVATOR128] / SLICO3161	DEFENDER [ULIF01607/01/10D EFENDRFND128] / SLIDF3160	DISCONTINUED POLICY FUND [ULIP01801/11/11D ISCONFND128] / SLIDP3167	DYNAMIC ASSET ALLOCATION FUND [ULIF02201/04/16D YNMICALLN128] / SLDAF3406	GROUP ELEVATOR FUND [ULGF00422/03/10 GRPELVATOR128] / SLIGE3158	GROUP PROTECTOR FUND [ULGF00222/03/10 GRPPROTECT128] / SLIGF3156	GUARD FUND [ULIF02301/04/16G UARRDFUND128] / SLGUF3407	GUARDIAN PLUS [ULIF01109/06/09G UARDNPLUS128] / SLIGP3153	GUARDIAN SHIELD [ULIF01009/06/09G UARDNSHLD128] / SLIGS3155	GUARDIAN [ULIF00201/07/06G UARDANFND128] / SLIGU3154
Opening Balance (Market Value)	69.10	54.96	0.71	0.31	0.66	23.18	25.82	0.01	7.13	32.79	0.02	0.48	0.48	3.08
Add: Inflow during the Quarter	4.08	2.77	0.02	0.00	0.02	0.23	1.99	0.00	0.00	0.00	0.00	0.02	0.01	0.07
Increase / (Decrease) Value of Inv[Net]	-5.51	-2.18	0.01	-0.01	0.01	-0.42	0.33	0.00	-0.16	0.50	0.00	-0.01	0.00	-0.08
Less: Outflow during the Quarter	-5.37	-5.40	-0.05	-0.02	-0.05	-2.22	-4.47	-0.01	0.00	-0.16	0.00	0.00	-0.03	-0.20
Total Investible Funds (MKT Value)	62.30	50.15	0.70	0.29	0.64	20.77	23.67	0.00	6.98	33.12	0.02	0.48	0.45	2.87

INVESTMENT OF UNIT FUND		ACCELERATOR FUND [ULIF00401/03/07ACCELRA128] / SLIAF3165		BALANCER [ULIF01707/01/10BALANCRFND128] / SLIBA3163		CONSERVATOR GOLD [ULIF00719/05/08CONSERGOLD128] / SLICG3166		CONSERVATOR PLATINAM [ULIF00919/05/08CONSPLATNM128] / SLICP3162		CONSERVATOR [ULIF00101/07/06CONSRVATOR128] / SLICO3161		DEFENDER [ULIF01607/01/10DEFENDRFND128] / SLIDF3160		DISCONTINUED POLICY FUND [ULIP01801/11/11DISCONFND128] / SLIDP3167		DYNAMIC ASSET ALLOCATION FUND [ULIF02201/04/16DYNMICALLN128] / SLDAF3406	
		Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																	
Central Govt Securities		.00	.00	2.94	.06	.23	.34	.17	.60	.25	.39	2.42	.12	9.61	.41	.00	.00
State Government Securities		.00	.00	.00	.00	.28	.40	.00	.00	.14	.22	.00	.00	.00	.00	.00	.00
Other Approved Securities		.00	.00	.13	.00	.00	.00	.00	.00	.07	.11	.25	.01	.00	.00	.00	.00
Corporate Bonds		.00	.00	10.75	.21	.14	.20	.03	.10	.17	.27	5.30	.25	7.37	.31	.00	.00
Infrastructure Bonds		.00	.00	2.27	.05	.00	.00	.00	.00	.00	.00	2.45	.12	.00	.00	.00	.00
Equity		59.55	.96	25.29	.50	.00	.00	.08	.27	.00	.00	5.92	.29	.00	.00	.00	.00
Money Market Investments		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	5.33	.22	.00	.00
Mutual Funds		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Deposit With Banks		.00	.00	2.40	.05	.03	.04	.00	.00	.02	.03	1.00	.05	.00	.00	.00	.00
Sub Total (A)		59.55	.96	43.78	.87	.68	.98	.28	.97	.65	1.02	17.34	.83	22.30	.94	.00	.00
Current Assets:																	
Accrued Interest		.00	.00	1.26	.03	.02	.02	.00	.01	.01	.02	.57	.03	.04	.00	.00	.00
Dividend Recievable		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Bank Balance		.85	.01	.18	.00	.00	.00	.01	.03	.00	.00	.62	.03	1.77	.07	.00	.00
Receivable For Sale Of Investments		.30	.00	.15	.00	.00	.00	.00	.00	.00	.00	.05	.00	.00	.00	.00	.00
Other Current Assets (For Investments)		.84	.01	.37	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Less: Current Liabilities																	
Payable For Investments		.90	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Fund Mgmt Charges Payable		.04	.00	.04	.00	.00	.00	.00	.00	.00	.00	.01	.00	.01	.00	.00	-1.00
Other Current Liabilities (For Investments)		.00	.00	.00	.00	.00	.00	.01	.02	.03	.05	.13	.01	.43	.02	.00	.00
Sub Total (B)		1.04	.02	1.91	.04	.01	.02	.01	.02	-0.01	-0.02	1.10	.05	1.37	.06	.00	1.00
Other Investments (<=25%)																	
Corporate Bonds		.00	.00	3.49	.07	.00	.00	.00	.00	.00	.00	2.05	.10	.00	.00	.00	.00
Infrastructure Bonds		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Equity		1.70	.03	.97	.02	.00	.00	.00	.01	.00	.00	.28	.01	.00	.00	.00	.00
Mutual Funds		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Venture Funds		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Others		.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (C)		1.70	.03	4.46	.09	.00	.00	.01	.00	.00	.00	2.33	.11	.00	.00	.00	.00
Total (A + B + C)		62.30	1.00	50.15	1.00	.70	1.00	.29	1.00	.64	1.00	20.77	1.00	23.67	1.00	.00	1.00
Fund Carried Forward (as per LB 2)		62.30	1.00	50.15	1.00	.70	1.00	.29	1.00	.64	1.00	20.77	1.00	23.67	1.00	.00	1.00

FORM 3A

Unit Linked Insurance Business

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2018

Investee Company Exposure Norms

Periodicity of Submission : Quarterly

Link to Item 'C' of FORM 3A (Part A)

PART - B

Rs. Crore

Particulars	MAXIMUS GOLD [ULIF00819/05/08M AXMUSGOLD128] / SLIMG3152	MAXIMUS PLUS [ULIF01206/07/09M AXMUSPLUS128] / SLIMP3151	MAXIMUS [ULIF00301/07/06M AXIMUSFND128] / SLIMA3150	MULTI CAP AGGRESSIVE FUND [ULIF02401/04/16M ULTICAPAG128] / SLMAF340R	PENSION BALANCER [ULIF02001/04/16P NBALANCER128] / SLPBF3409	PENSION MAXIMISER FUND [ULIF02101/04/16P NMAXMISER128] / SLPMF3411	PENSION MULTI CAP AGGRESSIVE FUND [ULIF02501/04/16P NMULTICAP128] /	PENSION PROTECTOR [ULIF01901/04/16P NPROTECTR128] / SLPPF3413	PRESERVER [ULIF01507/01/10P RSERVRFND128] / SLIPV3143	PROTECTOR [ULIF00520/12/07P ROTECTFND128] / SLIPR3142	SECURE PLUS [ULIF01301/09/09S ECUREPLUS128] / SLISP3141	TYASEER [ULIF01401/09/09T YASEERFND128] / SLITY3138	WEALTH CREATOR [ULIF00620/12/07W EALTHCRTR128] / SLIWC3137	Total of All Funds
Opening Balance (Market Value)	5.25	2.66	366.66	0.02	0.01	0.28	0.35	0.02	49.60	1.32	0.12	25.81	19.58	690.44
Add: Inflow during the Quarter	0.10	0.05	11.20	0.00	0.02	0.12	0.11	0.02	5.02	0.00	0.03	1.54	0.01	27.43
Increase / (Decrease) Value of Inv[Net]	-0.42	-0.17	-18.21	0.00	0.00	-0.01	-0.03	0.00	0.81	0.02	0.00	-1.62	-1.56	-28.72
Less: Outflow during the Quarter	-0.41	-0.11	-27.84	-0.02	0.00	0.00	0.00	0.00	-7.69	-0.08	-0.03	-1.04	-1.53	-56.75
Total Investible Funds (MKT Value)	4.53	2.43	331.80	0.00	0.03	0.39	0.43	0.04	47.74	1.26	0.13	24.69	16.50	632.40

INVESTMENT OF UNIT FUND	GROUP ELEVATOR FUND [ULGF00422/03/10GRPELVATOR1 28] / SLIGE3158		GROUP PROTECTOR FUND [ULGF00222/03/10GRPPROTECT1 28] / SLIGF3156		GUARD FUND [ULIF02301/04/16GUARRDFND1 28] / SLGUF3407		GUARDIAN PLUS [ULIF01109/06/09GUARDNPLUS1 28] / SLIGP3153		GUARDIAN SHIELD [ULIF01009/06/09GUARDNSHLD1 28] / SLIGS3155		GUARDIAN [ULIF00201/07/06GUARDANFND1 28] / SLIGU3154		MAXIMUS GOLD [ULIF00819/05/08MAXMUSGOLD1 28] / SLIMG3152		MAXIMUS PLUS [ULIF01206/07/09MAXMUSPLUS1 28] / SLIMP3151	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Govt Securities	.00	.00	.11	.00	.02	.82	.13	.28	.26	.58	.45	.16	.00	.00	.05	.02
State Government Securities	.00	.00	6.32	.19	.00	.00	.01	.02	.03	.07	.08	.03	.00	.00	.00	.00
Other Approved Securities	.00	.00	.10	.00	.00	.00	.00	.00	.00	.00	.07	.03	.00	.00	.00	.00
Corporate Bonds	2.23	.32	16.12	.49	.00	.00	.07	.14	.07	.15	.70	.25	.00	.00	.20	.08
Infrastructure Bonds	.46	.07	3.17	.10	.00	.00	.00	.00	.00	.00	.20	.07	.00	.00	.00	.00
Equity	2.92	.42	.00	.00	.00	.16	.21	.44	.07	.16	.91	.32	4.43	.98	1.81	.75
Money Market Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Deposit With Banks	.34	.05	1.62	.05	.00	.00	.02	.04	.01	.02	.13	.04	.00	.00	.10	.04
Sub Total (A)	5.95	.85	27.44	.83	.02	.98	.44	.92	.44	.98	2.54	.89	4.43	.98	2.16	.89
Current Assets:																
Accrued Interest	.21	.03	2.57	.08	.00	.00	.02	.04	.00	.01	.06	.02	.00	.00	.10	.04
Dividend Recievable	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Bank Balance	.12	.02	.15	.00	.00	.02	.01	.02	.01	.03	.05	.02	.09	.02	.10	.04
Receivable For Sale Of Investments	.16	.02	.00	.00	.00	.00	.00	.00	.00	.00	.02	.01	.29	.06	.02	.01
Other Current Assets (For Investments)	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.01	.00
Less: Current Liabilities																
Payable For Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.34	.07	.00	.00
Fund Mgmt Charges Payable	.00	.00	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.01	.00	.00	.00
Other Current Liabilities (For Investments)	.00	.00	.00	.00	.00	.00	.00	.00	.01	.02	.03	.01	.04	.01	.00	.00
Sub Total (B)	.48	.07	2.71	.08	.00	.02	.03	.07	.01	.01	.10	.03	-.01	.00	.22	.09
Other Investments (<=25%)																
Corporate Bonds	.51	.07	2.97	.09	.00	.00	.00	.00	.00	.00	.21	.07	.00	.00	.00	.00
Infrastructure Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Equity	.03	.00	.00	.00	.00	.01	.01	.02	.00	.00	.02	.01	.10	.02	.05	.02
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Venture Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Others	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (C)	.54	.08	2.97	.09	.00	.01	.01	.02	.00	.00	.23	.08	.10	.02	.05	.02
Total (A + B + C)	6.98	1.00	33.12	1.00	.02	1.00	.48	1.00	.45	1.00	2.87	1.00	4.53	1.00	2.43	1.00
Fund Carried Forward (as per LB 2)	6.98	1.00	33.12	1.00	.02	1.00	.48	1.00	.45	1.00	2.87	1.00	4.53	1.00	2.43	1.00

FORM 3A

Unit Linked Insurance Business

PART - B

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2018

Investee Company Exposure Norms

Link to Item 'C' of FORM 3A (Part A)

Periodicity of Submission : Quarterly

Rs. Crore

Particulars
Opening Balance (Market Value)
Add: Inflow during the Quarter
Increase / (Decrease) Value of Inv[Net]
Less: Outflow during the Quarter
Total Investible Funds (MKT Value)

INVESTMENT OF UNIT FUND	MAXIMUS [ULIF00301/07/06MAXI MUSFND128] / SLIMA3150		MULTI CAP AGGRESSIVE FUND [ULIF02401/04/16MULT ICAPAG128] / SLPBF3409		PENSION BALANCER [ULIF02001/04/16PNBA LANCER128] / SLPBF3409		PENSION MAXIMISER FUND [ULIF02101/04/16PNM AXMISER128] / SLPBF3409		PENSION MULTI CAP AGGRESSIVE FUND [ULIF02501/04/16PNMU LTICAP128] / SLPBF3409		PENSION PROTECTOR [ULIF01901/04/16PNP ROTECTR128] / SLPBF3409		PRESERVER [ULIF01507/01/10PRS ERVRFND128] / SLPBF3409		PROTECTOR [ULIF00520/12/07PRO TECTFND128] / SLPBF3409		SECURE PLUS [ULIF01301/09/09SECU REPLUS128] / SLPBF3409		TYASEER [ULIF01401/09/09TYAS EERFND128] / SLPBF3409		WEALTH CREATOR [ULIF00620/12/07WEA LTHCRTR128] / SLPBF3409		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																								
Central Govt Securities	16.18	.05	.00	.00	.00	.12	.10	.26	.00	.00	.02	.55	1.00	.02	.00	.00	.13	1.01	.00	.00	.00	.00	34.08	5.39
State Government Securities	4.32	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1.14	.02	.15	.12	.00	.00	.00	.00	.00	.00	12.47	1.97
Other Approved Securities	.56	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1.85	.04	.00	.00	.00	.00	.00	.00	.00	.00	3.04	.48
Corporate Bonds	46.97	.14	.00	.00	.00	.00	.02	.05	.00	.00	.00	.00	24.05	.50	.60	.48	.01	.08	.00	.00	.00	.00	114.79	18.15
Infrastructure Bonds	21.17	.06	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	10.11	.21	.32	.25	.00	.00	.00	.00	.00	.00	40.15	6.35
Equity	203.67	.61	.00	.00	.00	.14	.18	.46	.37	.85	.00	.00	.00	.00	.00	.00	.00	.00	24.14	.98	16.37	.99	345.92	54.70
Money Market Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	5.33	.84
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.05	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Deposit With Banks	16.52	.05	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	2.31	.05	.05	.04	.00	.00	.00	.00	.00	.00	24.54	3.88
Sub Total (A)	309.39	.93	.00	.00	.01	.26	.30	.77	.37	.85	.02	.60	40.45	.85	1.12	.89	.14	1.08	24.14	.98	16.37	.99	580.32	91.77
Current Assets:																								
Accrued Interest	4.06	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	2.41	.05	.04	.03	.00	.00	.00	.00	.00	.00	11.36	1.80
Dividend Recievable	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Bank Balance	9.11	.03	.00	.00	.01	.42	.04	.11	.01	.02	.01	.13	.38	.01	.05	.04	.00	.00	.75	.03	.13	.01	14.43	2.28
Receivable For Sale Of Investments	4.98	.01	.00	.00	.00	.01	.01	.02	.02	.05	.00	.00	.00	.00	.00	.00	.00	.00	.05	.00	.05	.00	6.09	.96
Other Current Assets (For Investments)	.02	.00	.00	.00	.01	.31	.04	.09	.02	.05	.01	.27	.75	.02	.00	.00	.00	.00	.37	.01	.00	.00	2.43	.38
Less: Current Liabilities																								
Payable For Investments	4.21	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.60	.02	.10	.01	6.14	.97	
Fund Mgmt Charges Payable	.22	.00	.00	-.64	.00	.00	.00	.00	.00	.00	.00	.00	.04	.00	.00	.00	.00	.00	.02	.00	.02	.00	.42	.07
Other Current Liabilities (For Investments)	.21	.00	.00	-.36	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.04	.04	.01	.08	.00	.00	.31	.02	1.25	.20
Sub Total (B)	13.53	.04	.00	1.00	.02	.73	.09	.23	.05	.11	.02	.40	3.49	.07	.04	.03	-.01	-.08	.55	.02	-.25	-.02	26.49	4.19
Other Investments (<=25%)																								
Corporate Bonds	2.26	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	3.79	.08	.10	.08	.00	.00	.00	.00	.00	.00	15.38	2.43
Infrastructure Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Equity	6.63	.02	.00	.00	.00	.01	.00	.00	.02	.04	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.39	.02	10.20	1.61
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Venture Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Others	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (C)	8.88	.03	.00	.00	.00	.01	.00	.00	.02	.04	.00	.00	3.79	.08	.10	.08	.00	.00	.00	.00	.39	.02	25.58	4.04
Total (A + B + C)	331.80	1.00	.00	1.00	.03	1.00	.39	1.00	.43	1.00	.04	1.00	47.74	1.00	1.26	1.00	.13	1.00	24.69	1.00	16.50	1.00	632.40	100.00
Fund Carried Forward (as per LB 2)	331.80	1.00	.00	1.00	.03	1.00	.39	1.00	.43	1.00	.04	1.00	47.74	1.00	1.26	1.00	.13	1.00	24.69	1.00	16.50	1.00	632.40	100.00

Date : 18-04-2018

Note :

- The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of Item 12 of FORM LB 2 which forms part of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
- Other Investments are as permitted under Sec 27A(2)

FORM 3A PART B FOOTNOTE

Signature : _____
Full Name : MR.G.VAIDYANATHAN
Authorised Signatory